What's new in the fi360 Toolkits since the 2009 Conference

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Core Features Added

- Enhanced Proposal Generator
- Model Portfolios
- Fee & Expense Area

Proposal Generator:
New features!

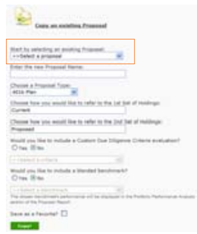
- New user-friendly interface
  - *Lives outside of My Client Manager*
  - *Easily store and view in-progress and completed proposals.*
- Expanded import capability:
  - *Use a client from My Client Manager*
  - *Upload an Excel spreadsheet*
  - *Blend an Implementation Model with a Recommended Fund List*
  - *Enter investment names or ticker symbols*
- Investment types
  - *Easily include all investment types in both portfolios/lineups.*
- Custom Portfolio/Lineup names
  - *Lineup Option 1 & Lineup Option 2 or;*
  - *Mark's Current Portfolio and Mark's Proposed Portfolio.*
- Enhanced Mapping options (optional)
  - *Show how current investments are "mapped" to proposed or;*
  - *Simply enter dollar values for both portfolios/lineups..*
- My Client Manager Conversion
  - *Convert the proposed portfolio/lineup into a Client*

fi360 global fiduciary insights

Proposal Generator:
Creating a new Proposal

Start from Scratch

Copy an existing Proposal



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fi360 global fiduciary insights

Proposal Generator:
Creating the two Portfolios/Lineups

Choose from the four import options

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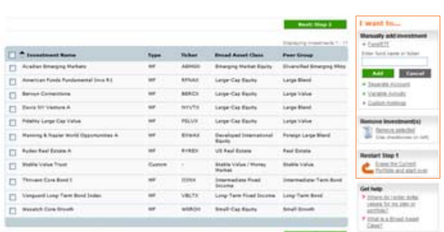
fi360 global fiduciary insights

Proposal Generator:
Tweak each Portfolio/Lineup

Manually add or remove individual investments



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Proposal Generator:
Choose the mapping option

Choose from the three mapping options

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Proposal Generator:
Option 1: "Current" to "Proposed" mapping

1. Enter the "Current Portfolio" values (if not already imported)
2. Map each "Current" investment to at least one "Proposed" investment.

Current Portfolio

Investment Name	Type	Ticker	Mapping	$ Amount	%
Stable Value Trust	Custom	-	Edit	95,200.00	7.45
Berwyn Cornerstone	MF	BERCX	Add	162,000.00	12.67
Fidelity Large Cap Value	MF	FSLVX	Add	59,000.00	4.61
Davis NY Venture A	MF	NYVTX	Add	355,000.00	27.78
American Funds Fundamental Invs R1	MF	RFNAX	Add	99,000.00	7.74
Manning & Napier World Opportunities A	MF	EXWAX	Add	245,000.00	19.17
Wasatch Core Growth	MF	WGROX	Add	44,000.00	3.44
Acadian Emerging Markets	MF	AEMGX	Add	35,000.00	2.73
Vanguard Long-Term Bond Index	MF	VBLTX	Add	130,000.00	10.17
Thrivent Core Bond I	MF	IIINX	Add	15,000.00	1.17
Rydex Real Estate A	MF	RYREX	Add	38,500.00	3.01
				1,277,700.00	100%

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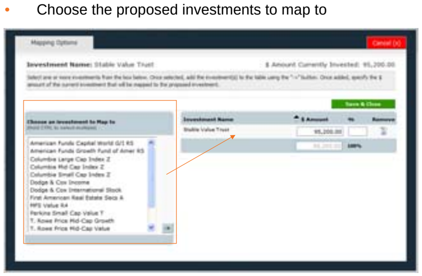
Proposal Generator:
Option 1: "Current" to "Proposed" mapping

After clicking to "Add" or "Edit" in the Mapping column;

- Choose the proposed investments to map to



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Proposal Generator:
Option 2: Manually enter $ values

Enter the $ values for all investments in both portfolios

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Proposal Generator:
Marking a Proposal as complete

Use the “Complete” link in the tab structure or the “I Want to…” panel to mark the proposal as complete.

Note: Proposals can only be completed once the mapping step is complete.

- Option 1: Each current investment is mapped to at least 1 proposed investment.
- Option 2: The total $ value in the “Current Portfolio” equals the “Proposed Portfolio”.

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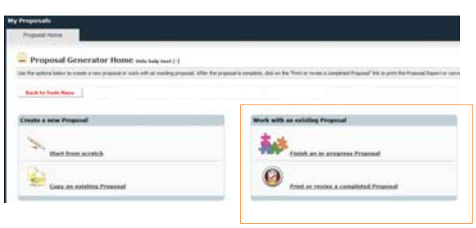
Proposal Generator:
In-progress & Completed Proposals

Use each section to view the respective Proposals



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Proposal Generator:
Completed Proposals

Use the options in the Completed Proposals table to:

- *Edit a Proposal*
- *Add commentary*
- *Create the Proposal Report*
- *Convert the "Proposed Portfolio" into a client*
- *Permanently delete a Proposal*

Search for a completed Proposal

10 most recent Proposals · Favorite Proposals · Search for older Proposals

Proposal Name	Type	Favorite	Last Updated	Edit Proposal	Commentary	Proposal Report	Convert to Client	Delete
Base Manufacturer's 401k Plan	401k	No	2010-04-30 09:02:20.737	Edit				
Sidney's Proposal	portfolio	Yes	2010-04-30 14:44:37.063	Edit				

Proposal Generator:
Add Commentary

Add an Executive Summary & Closing Commentary

Proposal Generator:
Create the Proposal Report

Choose your layout and create the Proposal!

Proposal Generator:
Changes to the existing Proposal Report

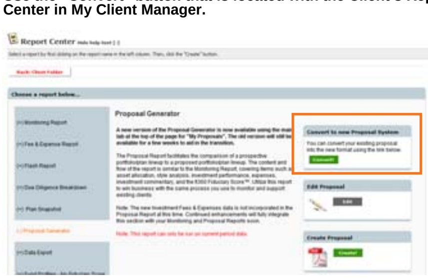
Holdings Summary, Performance Analysis, Action Items and portfolio naming.

Proposal Generator:
Convert the “Proposed Portfolio” to a Client

Create a new client or update an existing client.

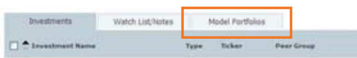
Proposal Generator:
Convert an existing proposal into the new proposal system

Use the “Convert” button that is located with the Client’s Report Center in My Client Manager.



Model Portfolios:
Ensure you have the right client type

Your client's Client Type must be "Retirement Plan – Participant Directed," for the Model Portfolio tab to appear.



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Model Portfolios:
Create a new model

Client- Specific
- Name
- Rebalancing Frequency
- $ Assets
- # Participants
- Blended Benchmark

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Model Portfolios:
Option 1: Manually add investments

- The plan lineup is listed on the left.
- Add investments to the model on the right.
  - *Specify percent allocation to each investment.*

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Model Portfolios:
Option 2: Use the Auto-populate feature

Choose from any stored Implementation Model.

- *Utilizes the model's peer groups and percentages to choose the appropriate investments from the Plan Lineup.*

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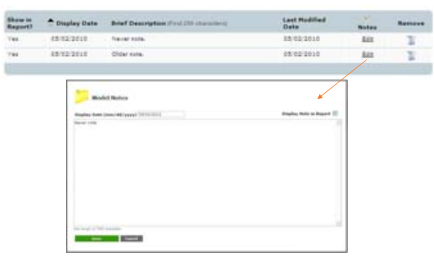
Model Portfolios:
Create notes

Create and store multiple model notes.



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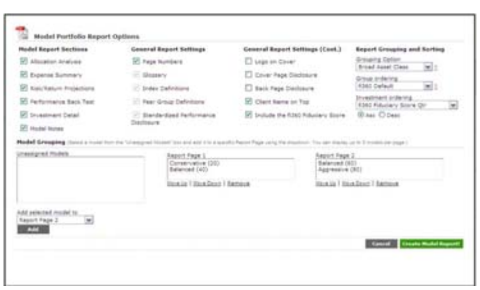
Model Portfolios:
Create a Model Report



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Model Portfolios:
Model Portfolios Report

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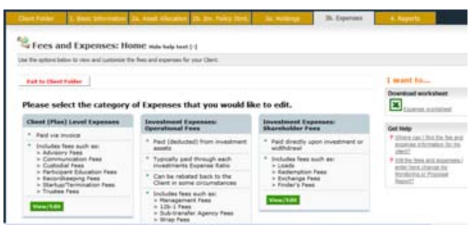
Fee & Expense Area:
Expenses tab

Access all three expense sections



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Fee & Expense Area:
Client/Plan level fees

- Use the fi360 default expenses or;
- Create your own custom expenses

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Fee & Expense Area:
Operational fees

Customize the pre-populated data with your unique situation.
- 12b-1 Fees, Sub-ta Fee and Wrap Fees.

	Prospectus Data		12b-1 Revenue Sharing		Other Revenue Sharing		Plan Data
Investment Name	Net Exp Ratio (%)	12b-1 (bps)	Sales	Service	Sub-transfer Agency Fee	Wrap Fee	# Participants
American Funds Capital World G/I R5	0.53	-	- -	- -	5 bps | 5 rebate	0 bps	0
American Funds Growth Fund of Amer R5	0.40	-	- -	- -	5 bps | 5 rebate	0 bps	0
Columbia Large Cap Index Z	0.14	-	- -	- -	10 bps | 10 rebate	0 bps	0
Columbia Mid Cap Index Z	0.20	-	- -	- -	10 bps | 10 rebate	0 bps	0
Columbia Small Cap Index Z	0.20	-	- -	- -	10 bps | 10 rebate	0 bps	0
Dodge & Cox Income	0.43	-	- -	- -	0 bps | 0 rebate	0 bps	0
Dodge & Cox International Stock	0.64	-	- -	- -	0 bps | 0 rebate	0 bps	0

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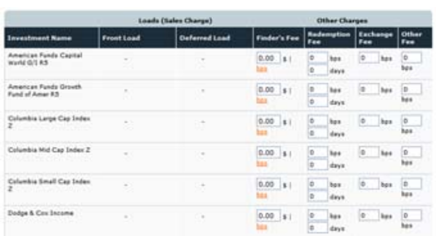
Fee & Expense Area:
Shareholder fees

Customize the pre-populated data with your unique situation.
- Loads, finder's fees, redemption fees, exchange fees and any other fees.



	Loads (Sales Charge)		Other Charges			
Investment Name	Front Load	Deferred Load	Finder's Fee	Redemption Fee	Exchange Fee	Other Fee
American Funds Capital World G/I R5	-	-	0.00 $ | bps	0 bps 0 days	0 bps	0 bps
American Funds Growth Fund of Amer R5	-	-	0.00 $ | bps	0 bps 0 days	0 bps	0 bps
Columbia Large Cap Index Z	-	-	0.00 $ | bps	0 bps 0 days	0 bps	0 bps
Columbia Mid Cap Index Z	-	-	0.00 $ | bps	0 bps 0 days	0 bps	0 bps
Columbia Small Cap Index Z	-	-	0.00 $ | bps	0 bps 0 days	0 bps	0 bps
Dodge & Cox Income	-	-	0.00 $ | bps	0 bps 0 days	0 bps	0 bps

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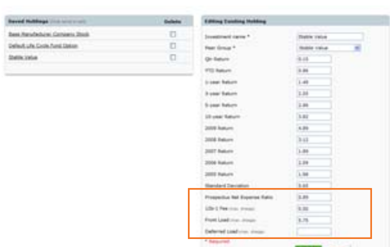
Fee & Expense Area:
Custom Holdings

Add your own expense data to a Custom Holding
- Expense Ratio, 12b-1 Fees and Loads



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Fee & Expense Area: Report

Access the Fee & Expense Report within the Client's Report Center.

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Fee & Expense Area: Report

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Fee & Expense Area: What's coming next!

- Separate Accounts and Annuities
- Ability to specify who is PAYING the fee
- Add an "Other" revenue-sharing fee that can be indicated and paid out of the fund's expense ratio in addition to any 12b-1 fee and sub-TA fee.
- ERISA Budget Account tracking
- Platform-level fee definitions
  - *Client-level*
  - *Operational/Shareholder Fee Level*
- Benchmarking

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Q&A

Any questions or comments?

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