



#### fi360 Overview

The fi360 Toolkits will help you to:

- Organize your due diligence process
- Select and monitor investments using your due diligence process
- Create an Investment Policy Statement that identifies your due diligence process
- Monitor client investments according to the IPS
- · And much more!

## fi360 fi360 Toolkit Features

- Web-based platform with no client or usage restrictions
- Applicable to all client types
  - 401(k) plans, Defined Benefit plans, Individual Investors, Trusts, Foundations
- Morningstar data (updated quarterly)
  - Funds/ETF's, Separately Managed Accounts, VA Subaccounts, Insurance Group Separate Accounts
  - Custom Holdings can also be created

COPYRIGHT 2010 1360 ALL RIGHTS RE

#### fi360 The fi360 Fiduciary Score ™

- The minimum due diligence screen necessary in a fiduciary application.
  Practice 3.1
- Updated Quarterly
- Regulatory Oversight screen

#### fi360 The fi360 Fiduciary Score ™

Minimum track record

1. Minimum of three years of investment history.

Stability of the organization.

2. Minimum of two year tenure of portfolio manager.

Assets in the product

3. Minimum \$75 mm in AUM.

## fi360 The fi360 Fiduciary Score ™

Holdings consistent with style

4. 80% of assets should be consistent with broad asset class.

Correlation to style or peer group

5. Morningstar Style Box v. peer group Expense ratio/fees

6. Investment should not be below 75<sup>th</sup> percentile of peers.

## fi360 The fi360 Fiduciary Score ™

Performance relative to assumed risk

7., 8. Investment should not be below 50<sup>th</sup> percentile of peers for Alpha and Sharpe Ratio

#### Assets in the product

9., 10., 11. Investment should not be below 50<sup>th</sup> percentile of peers for 1, 3 and 5 year returns





3

fi360" fileter might	Custom Due I	Dilige	nce Criteria
	Adjust the level of o custom due diligent the triggere offer the triggere offer the triggere offer the triggere offer the triggere offer the triggere of the triggere the triggere triggere of the triggere trigge	complian ce criteri	ce to add flexibility to your a.
	6		(Antonia Section 1997)
	Citerion Francisco del	angeotance	in white the of Researced Indian strengther would be marked
	himself stars for him stores	Instruct I	of Optional factors (relected in the column to the left) that most is assisted for the maximum to track the due (interce-
	Line faters - to US of ser-	L'éstima 2	
	Sharps - Top Sith of pear	Contena al	if      if      Optional Factors must be met
	MahaperTamura - + 4 Taara	Cathonal #	April 24 defendent, all required factors must be calculated for
			COPYRIGHT 2010 1980 ALL RIGHTS RESERV











f1360" filestery statefile	My Cl	ents	
	<ul> <li>Stor alloc Stat varie</li> </ul>	e customer information, establish an asset ation, generate a client Investment Policy ement (IPS), enter client holdings, and create a ty of reports.	
	CD .	Basic Information	
	6	Asset Allocation	
		Investment Policy Statement	
	1	Holdings	
	2	Expenses	
		Reports	
			13





fi360" fileter miljet	My Clients: Asset Allocation Optimizer
	Use the scroll bar to change the allocation from less risk
	COPYRIGHT 2010 1360 ALL RIGHTS RESERVED









fi360" filectory receiptor	My Clien	its: H	olc	lings			
	•IPS criteria On Watch I •Make your •Document	a is the List. r final V : your c	def Vatc	ault criteria h List deci ion in the	a to plac sion. Notes.	e a func	ł
	Anne (Meliane)	Autoritation (					Same -
	(3) (manufactures	144	-	the local			-
	2 Proser Spin Promet		mper .	- organization			80
	D magazinthearth th	-	100	1004104-000 000		of the loss of the	34
	E. Handler of the state of the			Tage Free June and	-	the superior and	
							-
							19
					COPYRIGHT	2010 1360 ALL RIGH	TS RESERVED





# fi360 Watch List Management

- 1. Does the investment pass my Investment Policy Statement Monitoring Criteria?
- 2. Is there a Global Manual Decision saved for this investment?
  - These decisions affect ALL clients that hold the investment.
- 3. Is there a Client-specific Manual Decision saved for this investment?
  - These decisions affect ONLY the specified client that holds the investment.



View the Client's Holdings to see how the investments stack up.	fi360" selectory receptor	Wato	h List	t Mar	nag	gemen	it			
Instantion         Walk         Number / Person		View th	e Client's	Holding	s to	see how t	he inves	tmen	ts stacl	k up.
Joshimi         Mather Index         Marker Index										
● Proceedimentational         Space         Application         Space         Space <th< th=""><th></th><th></th><th>buildments</th><th>Watch List Names</th><th></th><th>tudel Perdolas</th><th></th><th>74</th><th>i militare 11</th><th></th></th<>			buildments	Watch List Names		tudel Perdolas		74	i militare 11	
Add Head Depublik         Add         Parallel Translation         Add         Distribution         Distribution <thdistribution< th="">         Distribution         Dist</thdistribution<>		t	- Streetmart Barre	Type	Tobar	Peer linear	Marela 195 Parataring Collected	Workh.Last Status	-	
Propuest Production Structure         of Mail         Secondary Structure         of Mail         Secondary Structure         Se			All feel La book 4		17534	And South 1	×	Of Sarth	.848	
Interface         Matrix         Matr		t	Federal Total Room B	ini kan	7,481	International Tarm Band	1	Do watch Lot \$25	.848	
Investment         Not         Replix         Signitive         Signit         Signitive         Signit <td></td> <td>1</td> <td>1995 United Racins Kil</td> <td>10</td> <td>10001</td> <td>mark Braze</td> <td>- X.</td> <td>Division and</td> <td>Att</td> <td></td>		1	1995 United Racins Kil	10	10001	mark Braze	- X.	Division and	Att	
Description         participa		1	Termer Basily Docerna B		Adapt	Legevalue	- X -	Do nature Loss Ball	848	
Image and point out 1014         off PTDs         complexity         off PTDs         off PTDs <thoff ptds<="" th="">         off PTDs         <thoff ptds<="" th=""></thoff></thoff>		1	Trease 4	4.0	PEDRIC	Legal Bland	×	Con Bala	Add .	
Investor         Advance         Advance         State         State         Bate           Investor         Advance         State         State         State         Bate         Ba		1	- President Lange Cap Stree	11.11.11.11.11	-	Large Dructh.	- K	Diff teach	848	
Annual Constantial Associations of PERS Page Statistics State     X Statement     Annual Constantial Associations     Annual Constantial Association     Annual Constantial     Annual Constantial     Annual Constantial		E	Transist LifeTime 2020	44	PTCEN	Target Date 2124-2228	N	Do trach	kie	
□         Prompt of Network (2015 th)         or if PRID         Proget field (2015 th)         Of Network (2015 th)         Dial           □         Prompt of Network (2015 th)         of NetWork (2015 th)         of NetWork (2015 th)         Dial         Dial <td< td=""><td></td><td>1</td><td>newsal United 2010</td><td>14</td><td>11081</td><td>*eget2ate2126-2242</td><td>8</td><td>Do watch</td><td>Rate</td><td></td></td<>		1	newsal United 2010	14	11081	*eget2ate2126-2242	8	Do watch	Rate	
Annual Annual Marcel Marcel 4 of Parket Annual		1	Strength Life Tree 2000	ni in .	17301	Tarpat Data (2000-		Diff angular Serie Bala	3an	
Animet Sciented Instant of 40,40 Solarana Aniel     X Solarana     Kanata		E.	President Marcine Bland 7	<ol> <li>1961</li> </ol>	11001	Hit Car Ever	×	OF Sect.	840	
		1	Fuman Diranshad Store	int int	10183	Publicator Soral		Live SEL	848	2



f1360" filectory neight	Watch List Mar	na	gement	
	Making a qualitat	tiv	e Watch List Decision	
	If a decision axials for a client, 2 will asked a determine the investment Webb Col status for that client,	*	It is decision unlike on your account, it will determine the wealch list phase for all clients that do not have be particle decision for the same investment.	
	beleck a iftent fa add a specific Watch Lief deritaine (bettishen: Iber Cefred Sanafi Fiar		A C C C	
	Rear Of Workshow		Self Factor - UPS Nanotaning Cristing Self Factor - UPS Nanotaning Cristing Self Factor - UPS Nanotaning Cristing Nanota Children - We wanted and self-self-self-self-self-self-self-self-	
	To increase a decision, period a closed and ships the treatment of	2		
	Cleasts with investment always OFF the Watch (1st			
	To remove a person, send a creat and risk the test net	1		2
			COPYRIGHT 2010 1380 ALL RIGHTS RESER	RVED







f1360" filectory meteor	Proposal (	Generator	,		
	Use the tabs or th of your Proposal.		er to access the	various section	ons
	Step 1: Correct Particle Sear In a mathematic inter- States for a final search States for a final search Benefit Benefit States for a final search Benefit Be	Step 2: Proposed PartNets Proto Ray House PartNets Proto PartNets Market Not Note Note Barten Ba	3162 31. Happing (Asoft Values Change have 50 met the Change have 50 met the Asoft State of the State of the Asoft State of the Asoft State of the State of the Asoft State of the Asof	Configuration Services Process Transmission Process Transmission	28
			COPYRIGHT	2010 f360 ALL RIGHTS RESER	VED







f1360" jelosi jelosiev migleti	Proposal Generator Step #1	
	Choose one of the four import options New world you like enter investment data for the Carrent Portfolio?	
	Start by instantion holdinas from a Clear in Hy Clear Hansan Start by instantion an Doof Me with Heated Dends/LTFs	
	Start for combining a Recommended Fund List with an Josefensoriation Rodel	
	3. Start with on holdings. I will noter all of the investments by name/licker.	30
	COPYRIGHT 2010 1960 ALL RIGHTS RESE	RVED

f1360" filectory meight	Proposal Ge <sub>Step</sub> #1	enei	rate	or		
	Manually add or re	emove	e indi	ividual inv	estments	I want for
					Designing Investment 1, 11	Manually add investment + frankfit
	C * Investment Name	Sec.	Index	Reveal Asset Class	Pear Group	time fund name or toker
	Acades Energing Martistra	-	ABMON	Emerging Martiel Earlier	Diversified Diverging Were	
	American Funds Pandamanal Sous 83	we	87544	Large Cas Barry	Large Hand	AM
	Barrys Compilians	-	889.01	Large-Cap Banky	Large Value	+ Verster, hundy
	E Back WY Landson A	-	www.Tit	Large Capitante	Large Band	+ Dame tomat
	Photo Lege Car name	-	PRUT	Large Cap Rainy	Large Value	Remove Investment(s)
	Hanning & Paper World Departmentes A	-	Erant	Ceceloped Depressional Reports	Person Large Band	The statement of two
	Ryber Teal Estate A	-	81453	US Real Estates	Real Estate	Restart Size 1
	That's Value Trust	Cetten		Bable Value / Honey	Statis Value	C institution
	Throad Con Burd 1	-	12254	Internation Visal	International Taxa Stand	and and and and and and
	PT Versional Long Term Band Index	-	Valta	Long-Taxin Frond Income	Long Tarm Band	Get help
	(1) Manual Constituted			Intel Cas Easts	Stud Small	contents. The antima and the second second
	1.1				-	* Ebst. A. & Exed. Asset
					Read Hours I	1996
						3
					COPYRIGHT 2	010 f360 ALL RIGHTS RESERVED





f1360" filedary includes	Proposal G <sub>Step</sub> #2	ene	era	tor			
	Manually add or	remc	ve i	ndividual	investme	ents	
					Designation in concernent in the	A Sanitiz	
	C * Section News	Tape	Salar	Broad Asset Lines	Pear Drosp	+ Insonk.hannel	
	D Analian fants Cartal ande 61 88	-	#w073	distant.	world Stands	<ul> <li>Second Acceleration</li> </ul>	
	D American Facility Streets Facility of America Str.	-	Appella	Large Dia Raits	Large Brook		
	Cristilia Lorge Cas Toller 2	-	HDEH	Large Cas Runts	Large Bank	The second secon	
	Columbus Mat Cag Index 3	-	mailing	Mid-Cas Ravins	Mar Cas Bland	The function of last	
	Columba baal Cas tome 2	-	1000	Deall-Cay Barris	Dead West	Hentert Step 2	
	D Delas & Galinesee		DOBDA.	Insurantiana Possi Income	Insertable Tare Bod	Casc fa fraueni	
	D Rodge & Con Descriptional Start		20041	Designed Instrument	Ferrigi Large Value	and the second se	
	The American Red Dourse Data A		-	US had Since	Fast Synne	# sthem do ander	
	D APRIcale As		MELE	Large Cas Rainy	Large Torte	and to prove all a	
	Ballon Small Cap Value 7	-	803	Smith Carl Banta	deal Volue	<ul> <li>Unit &amp; A Build Anne Unit</li> </ul>	
	The Basic Labor Trace	Cattorn		Bartin Links / Brown	Depth Labor		
	D Line has been been		****	Mill Cas Barris	Mal Cap Double		
	1 these trees we have value		THACE	me the facts	Me day Value		
	D Veget last fast faster		14175	Internation Front	Internation Sciences		
	Tangand South Cap Street Index	-	19864	bruil Cay Barty	beat them.		
	D Vergard walkspin		VALUE	All states	Multiple Margins		
	and the second s				41		33
					Real line 1		



fi360" filectory minipado	Proposal Generator <sub>Step</sub> #3
	Choose from the three mapping options
	How would you like to map the assets from the Current Portfolio to the Proposed Portfolio?
	1 sull map each current investment to at least one areased investment.
	\$ Luil enter the allocations for each plan with no manatima.
	Den 1 want to enter any affections. L woold like to complete my proposal.
	34
	COPYRIGHT 2010 1960 ALL RIGHTS RESERVED







Mannino		ontic	h	2			
Manuallu				<u>.</u>			
Manually i	ΞNT	er ə	va	lues			
Ententhe C			- 11 :			41	4-1
Enter the \$	vait	les tor	all I	investments i	n bc	otn por	trollos
Carreat Partialia			-	Proposed Partialia			
Investment Name	* fuber	S Assessed		Incoderant Name	* Robert	S.A.mast	
Bally Value Trail		95,200.00		Blable Value Truit.		4.00	
Acadas Energing Hollats	40408	35,000.00		Onlys & Con International Starts	boors.	4.86	
Balinya Campinium	968.CH	182,005.00		Stolge & Con Income	1000	6.85	
Manning & Naaron World Opportunities A	Brank	245,000.00		Proc. American Real Balance Data	PRAM	14,201.00	
Polation Large Cap Value	#83,1/16	\$9,005.00		Parkins Small Cay Value 7	10019	8.80	
Throad Continued I	-	15,390.00		WE upon he	-	38,347,00	
David NY Classics &	avota.	215,205,20		Columbia Large Cap Terles 2	kinder	85,251,00	
American Paulo Paulohamal Ince \$1	-	94,006.00		Calandra Mal Cap Toller 2	-	28,890.00	
Review Read Enterior A		34,800.00		Columbra Small Cap Index 2	NMICE.	26,846,94	
Vergeard Long Tarin Band Index	VALUE C	131.505.50		Amarcan Punts Brooth Fund of	PARTY	114,347,88	
Warman Garage Street	-	44,000.00		7, Anna Price Hol Cap Drovett	-	72.250.00	
	Tatlati	Large Party	-	American Funds Capital Model IST 83	840%	47,644.00	
				7. Rove Price Hitz-Cay Links	TRACE	8.66	
				Valgorid Joseph Tarm Transver	verta	18,800.00	
				Verguert Small Cap Droot	-	12,290,00	
				Tangoord Mailington	VMELT	41.000.00	
				0	Total	and the set	Large L



1300	Marking	Marking a Proposal as Complete								
iductory insight										
	Use the " to" pan	Comple el to m	ete" lir ark the	k in the ta proposal	b struc as com	ture or plete.	the "I Wa			
	Option	1: Each cu	irrent inve	stment is mappe	e the map ed to at lea	ping step st 1 propos	sed investmer			
	Option	2: The tota	al \$ value	n the "Current F	ortfolio" ec	uals the "F	Proposed Por			
	My Proposals; Editing "S	lidney's Propend	" (téta éfferet)							
	Ny Propriato Editing 'S	idaey's Proposa L Denet Path	P (tall, a different)	name) 1 mayana (symme	100	rigihant Values	Earlies			
	Hy Proposals: Editing "Frances Interest Technology"	Electric Proposal	" (tal.a.dthevel)		1.94	org/Lasel Values	Eargiers			
	Ny Proposale: Editing 'n Proposal Note: Proposal Mapy Net to bla via a server Free Science and the server	L Dennet Part Diffig mate beigt toor forge alleation for such transport	P (Lát) a áthanns) 1 B Sannart S Ta Lann	name) 2 Magnesi (Arthur 1 Arthur and Papend Particle In	1. Nor	ang kasat Valuer	Corpore 1			
	Wy Proposalis: Editing "N Proposal Nator Proposal Mapy Bits to bits vide a perm Ford is, panel is sold wet	lidaday'n Progense Lidaday'n Progense Difficji mala harje taur tage diautier for secto t vertreit.	<sup>P</sup> (141 a.45%met) 11 nonmette Ve Care	named) 3. Magnesel Purties of turble, and Proposed Purties in	A. Shee	ang Asset Values	Elements			
	Wy Programatic, Editions "N Programmed transmit Proposed Mapy There are also what a perform Even (In, panel is sold) with	Direct Despect L Dennet from Diffs) mate beige boar frage also also for secto a reaction.	<ul> <li>Calif. a different.</li> </ul>	normal) B. Tragenet Particle Interface and Proposed Particles for	in the Officeries Sector	ang haat talan	Elemente in las fie (heur en rech I matti Imo- Complete Proposal			
	Wy Propulsitie Edition 19 Propulsi Note:   Proposal Mapp Interstain of a party Francis, and its wide a party Editor for the filler	Linear has been dealer for set	P Call a different I I I I	name) I request for the local Proposed Fortballs	1. Sea	erg hand Veiner	Annun			
	My Proposalit Editing to Provide Total Proposal Magy The total data year of the Proposal Magy Total to part to address Ford to, part to address Ford to, part to address	Aller of Property of Property of Pro- Linearcy (Prof.) ping moto trap trans regulations for each resolution.	P Call a alfored	name)	2 m	neg kant Value	Compare 1			
	By Preparative Edition 7%	Ding at Departure Lineary full ping and have have been regardleaded for setting without the setting the setting	Call & Alfrederic	Control (1970)	1. See	neg haat Value	Engune In the Set Orage way work I want Films Comparison provided I want films Comparison provided I want films I want fi			
	Wy Arapanakis Laking **	Convert Party Convert Party Shifty induction for each or advantation Dataset Dataset Convert	E Calif d d'Anne C E E E E E E E E E E E E E E E	Proposed Particles Proposed Particles Proposed Particles Design Files Series Version Design Files Trees	A Sec inc the Officence Into Tables Add Back SODPI	neg hand Value . New 2 token Date 2 token Martin Mi Martin Mi	Engineer			
	Wy Proposalis Edition 75 Proposal Mapy The international Mapy The international Mapy Research and the international Foundational Market Compared Particular Marketana Name Safety Variante Safety Variant	Alberg & Perspect of Linear Party PRG man help har man alusation for solito methods Party R Anno Second	Cost a different	name) I make an imposed fractions fractions and imposed fractions Proposed fractions Statisticates Team Statisticates Team	3. See the De Offeren Line * Select 5.00 Back 500%	Inter E Intere Rece E Intere Market Constant Rece E Interes	Ensure in the the Orage may control where the Orage may control where the Orage may control of the Orage may control			
	By Preparation Labeling " Proposal Margo Proposal Margo Internet in the second second Seco	Diney's Proposition	P Case a arthuring	name) 1. Name (name) name (name) Name (name) Name (name) Name (name) Name (name) Name (name) Name (name)	1 Mar	No. 2011 Control Control No. 2011 Control Control No. 2011 Control Control No. 2011 Control Control No. 2011 Control Control	A the Sector of			









fi360° filadar naipta	Customiz	zation			
	Peer Grou Settings>Rep Change the	up Benchma lort Settings>Pee Peer Group benc	r Group Benchm chmarks in your	narks reports.	
	Add a Conton Bonchmark			3	
	Barubracky Datest	• Anh			
	appropriate the second se	100-100-000-00-00-00-00-00-00-00-00-00-0		10000	
	Peer Groeg	User-Selected Buschmark	Default Benchmark	Appert	
	Barll Loan	COTTAGAS CO Say Mar & Man	Refae live Gade 2 4 11 18 USD		
	the Noter		and and an		
					40
			COPYRIG	HT 2010 1360 ALL RIG	HTS RESERVED



f1360" filectory metaboli	Other Tools						
	Blended Bend	hm	arks				
	Create a blended b	ench	mark for use in re	porting	Percent	Returns	
	Alerian HLF TR	-	AMEX Build Minara PR USD	N/84	10.0	T	
	AREX International Narket PR USD BerCap 1-5 Yr Treasury TR USD	62	OJ Appressive Pertfelle TR USD	3244	90.0	T	
	BerCep Apprepara Bond Treasury TR. BerCep Bellwether Swap 6 Mon TR USD BerCep CHES BIJSA Eligible TR USD			Total	101.00%		
	BerCap Credit L-1 V TRUBD BerCap Credit L-1 V TRUBD BerCap De North Rub Credit BerCap De North Rub BerCap	(R)					41
				COPYRIGHT 2	010 f360 Al	L RIGHTS RES	SERVED

fi360" Federary weight	Otl	her To	ols						
	Ba	Atch Reporting							
		Search Report Arsho Report Name La 2022 Arsh Catalantia Lauci Statutiona Lauci Real Search Lauci Statutiona Lauci Statutiona Lauci Statutiona Lauci	uter .	Chert Kuns Batth Rupper Furth Are, Associate Furth Are, Associate Furth Are, Associate Diff Chert Cliff Chert	4218 4218 4216	194 Tane 255 207 207 207 207 207 207	Lar Costal 2010-02-03 10-31 2010-02-03 10-31 2010-02-03 10-19 2010-02-03 10-19 2010-02-03 10-19 2010-02-03 10-19	Automated Belefins an 2016/20211 215231 215231 2152-05-16 1019 2006-05-10 1019 2006-05-10 1019 2006-05-10 1019	42

