



Kimberly Shaw Elliott is an ERISA investment lawyer, engaging in a multi-disciplinary practice helping clients successfully navigate the complex intersection of rules founded in ERISA/employee benefits, securities law, broker dealer regulation and tax.

Kim represents broker dealers, investment advisers, insurance companies and others nationwide, with a focus on fiduciary responsibility. She brings the business leader's perspective to the practice of law, having served as an executive responsible for building and distributing investment products, both domestically and offshore, as well as chief ERISA counsel for major distributors. As general counsel of a broker dealer/ investment advisor, she led those firms through extensive claims litigation, multi-state regulatory actions and E&O coverage disputes. She has advised retirement plan trustee committees, for defined benefit and defined contribution plans, with plan assets exceeding \$2 billion.

Licensed over 30 years as an attorney in Illinois and Missouri, Kim is a three-time graduate of Washington University in St. Louis, having earned her JD, LLM, and executive MBA there. She is a FINRA licensed principal and investment advisor representative with Calton & Associates, Inc. and holds the Fellow, Life Management Institute designation. Kim is a member of the Compliance and Legal Division of the Securities Industry and Financial Markets Association, was named President Emeritus of the Association of Corporate Counsel (St. Louis), chaired the Employee Benefits Committee of the Missouri Bar, and is a frequent speaker on employee benefits and securities-related topics.

Kim and her family enjoy experiencing different cultures through foreign travel, studying architecture, collecting Asian and Prairie School furniture and bicycling.



Keith Gredys **AIF®, CTFA, J.D.**

Keith Gredys co-founded Kidder Benefits Consultants, Inc. (Kidder) in 1996 and has more than 30 years of investment, trust and pension administration experience. Kidder is a full service, independent, qualified retirement plan consulting, administration, actuarial and investment firm headquartered in West Des Moines, Iowa. This CEFEX certified firm has a regional consulting and administrative office in Tucson, AZ, as well as regional offices in Omaha, NE, and Albuquerque, NM. Kidder designs and administers almost 1,100 401(k), 403(b), ESOP, Defined Benefit and Cash Balance plans across the US.

Keith is a recognized expert in investment management, fiduciary issues and qualified plan administration. He possesses a law degree (J.D.) and Bachelor of Science in Business Administration from the University of Nebraska-Lincoln. He maintains the professional designation of Certified Trust and Financial Advisor (CTFA) and Accredited Investment Fiduciary (AIF®). He conducts numerous seminars and continuing education programs on qualified retirement plans and fiduciary topics throughout the country.

