



**Michael J. DiCenso, PRP®, LLIF, AIF®**

Mr. DiCenso serves as the National Practice Leader-Gallagher Retirement Services, and President of GBS Investment Consulting, LLC. His responsibilities include: vision/strategy creation and implementation, compliance process development and implementation, sales and marketing management, product development, technology development, service delivery and acquisitions for Gallagher Retirement Services on a national basis.

Mr. DiCenso has served at the executive management level for more than 16 years with product providers, banks, and consulting firms concentrating in financial services with a focus in the retirement plan industry, both in the United States and internationally. His experience includes retirement plans, life and disability insurance, non-qualified deferred compensation, mutual funds, worksite marketing, registered investment advisory services, wealth management and broker dealer operations. Mr. DiCenso was named No. 58 of 100 for one of the top influencers in the 401(k) industry by 401k Wire magazine, and was recognized in 2008 as a nominee. His industry leadership and experience has helped to create and deliver innovative solutions to the retirement services industry clients.

Education Mr. DiCenso received his Bachelors of Science in Business Administration with a double major in Marketing and Management from Missouri Southern University, and his LIMRA Leadership Institute Fellowship (LLIF) from Babson College in Wellesley, Massachusetts.



**Jason C. Roberts, Esq.**

Jason Roberts is a partner of the law firm of Reish & Reicher, specializing in employee benefits and securities regulation, and is the co-chair of the Financial Services Practice Group.

**Professional Experience**

He counsels broker-dealers, registered investment advisers (RIAs), hedge funds, private equity funds, retirement plan sponsors and plan providers in ERISA and investment-related matters. Jason represents clients and serves as an expert witness in federal and state court at the trial and appellate level and in arbitrations before FINRA. He also counsels clients involved in government enforcement proceedings and employment disputes.

**Professional Activities**

Jason currently serves on the steering committee for the American Society of Pension Professionals and Actuaries (ASPPA) 2010 and 2011 401(k) Summits. He also sits on the Editorial Advisory Board of the Employer Resource Institute's California Employer Adviser and the Board of Directors for the Los Angeles Chapter of the Financial Planning Association (FPA), overseeing Professional and Legislative Issues/Government Relations. Jason serves on the Investment

Fiduciary Leadership Council's (IFLC) Task Force on Fiduciary Standards for Endowments & Foundations and is a co-director of IFLC's Southern California Fiduciary Roundtable. He is a junior board member for Petra Nemcova's Happy Hearts Fund, a non-profit organization that seeks to improve children's lives through education and sustainable programs in natural disaster areas, and is an active fundraiser and volunteer for the Albergue de Maria, a humanitarian home for children with AIDS.

### **Publications & Presentations**

Jason has published numerous articles on fiduciary best practices, ERISA compliance and securities regulation. He is a nationally-recognized speaker on issues such as fiduciary compliance, the efficacy of retirement savings programs and service provider due diligence and disclosure requirements. Jason is frequently quoted and interviewed by both professional and public publications, including *The Wall Street Journal*, *InvestmentNews*, *Dow Jones News*, *Registered Rep.*, *Ignites*, *PLANSPONSOR Magazine*, *PlanAdviser Magazine*, *Institutional Investor*, *Fund Action*, and *FSI Voice*, and was nominated by his peers as one of the "Top Influencers" of the 401k industry in 2007, 2009 and 2009.

### **Education**

Jason received his B.S.B.A. in Finance & Banking from the University of Missouri and his J.D. from the University of California, Los Angeles (UCLA). He was a recipient of the B.E. Witkin Award for both Contracts and Legal Writing and Research and was an articles editor for the UCLA Law School Journal of Law and Technology. In 2002, Jason served as a judicial extern for the Honorable Sandra Brown Armstrong in the United States District Court for the Northern District of California. He is a graduate of FINRA's Compliance Boot Camp and has obtained the designation of Accredited Investment Fiduciary Analyst™ from the Center for Fiduciary Studies in association with the Katz Graduate School of Business, University of Pittsburgh.

### **Scott Holsopple**

President Smart401k



Scott joined Smart401k from Summit Partners, an \$11 billion venture capital and private equity firm. While at Summit, Scott focused on investments in the financial services and technology industries, including the firm's investments in The Mutual Fund Store®, LiveOffice and Globe Wireless. Earlier in his career, Scott was an investment banker at TD Securities, where he worked on a variety of Mergers & Acquisitions, equity and debt transactions in the telecom industry.

Scott graduated with distinction from the University of Pennsylvania with a B.S. in Finance and was a member of the Varsity Track and Field team. He is actively involved in community outreach, focusing his attention on inner-city education.



**Chad Griffeth, AIF®**

Co-Founder of BeManaged and key architect in design of 401(k) employee account management (BeManaged) and investment advice (BeAdvised) solutions. Operating as Fiduciary Adviser (PPA), our flagship program, BeManaged, provides participants professional, institutional account management, 4-6 personalized updates per year, and a Personal Annual Review to demonstrate performance, all on a fee-for-service basis coordinated with the plan provider. Providing participants a free 401(k) consultation has resulted in a clear, independent solution for paternalistic companies concerned about their employees' asset allocation and ability to manage risk appropriately. BeManaged has clients ranging from 13 participants to 4,600+, and has developed into a thought leader in the world of participant advice. Additionally, BeManaged (created by Actium LLC) was the first company in the world to become CEFEX Fiduciary Adviser (PPA) certified, and the 10th company to be endorsed by Matthew Hutcheson as an independent fiduciary.

Chad has been quoted multiple times on Marketwatch.com and has served as a panelist speaker at the '09 Fiduciary360 and CFDD national conferences regarding the delivery of prudent, fiduciary participant advice. Additionally, he was instrumental in organizing and spoke at '07 W MI

Fiduciary Roundtable and '09 Fiduciary Forum along with top legal and industry professionals, including Warner Norcross and Judd, Miller Johnson, Roland | Criss and fi360.