

## Tuesday, April 22

| TIME        | EVENT                     | LOCATION     |
|-------------|---------------------------|--------------|
| 8:30 – 5:30 | AIFA Designation Training | Cumberland 1 |

## Wednesday, April 23

| TIME         | EVENT                           | LOCATION     |
|--------------|---------------------------------|--------------|
| 8:30 – 5:30  | AIFA Designation Training       | Cumberland 1 |
| 1:00 – 5:30  | AIF Designation Training        | Cumberland 3 |
| 12:00 – 5:00 | 401(k) Service Training Program | Cumberland 4 |

## Thursday, April 24

| TIME         | EVENT   | LOCATION  |
|--------------|---|---|
| 8:30 – 5:30  | AIFA Designation Training                               | Cumberland 1  |
| 8:00 – 12:00 | AIF Designation Training                                | Cumberland 3  |
| 8:00 – 4:00  | 401(k) Service Training Program                         | Cumberland 4  |
| 8:00 – 5:00  | CEFEX Analyst Training                                  | Cumberland 5  |
| 1:00 – 4:00  | <b>Toolkit Sessions</b>                                 |   |
|              | <b>FI360 TOOLKIT SESSIONS:</b> Taking Your First Steps  | Legends Ballroom F                                  |
|              | <b>FI360 TOOLKIT SESSIONS:</b> Rising to the Next Level | Legenda Ballroom G                                  |
| 12:00 – 4:30 | Registration Opens for INSIGHTS 2014                    | 5th Ave. Prefunction                                |
| 5:30 – 8:00  | <i>Country Music Welcome</i> - Tour & Reception         | Country Music Hall of Fame<br>(Omni Lobby entrance) |

## Friday, April 25

| TIME  | EVENT  | SPEAKER                     | LOCATION                      |
|---|--|-----------------------------|-------------------------------|
| 7:00 – 8:00   | Continental breakfast  |                             | Legends Ballroom              |
| 7:00 – 8:00   | fi360 Conference registration  |                             | 5th Ave. Prefunction          |
| 8:00 – 9:00   | Opening Remarks  | Blaine Aikin and Rich Lynch | Broadway Ballroom E-K         |
| 9:00 – 10:00  | Wikinomics and Financial Services  | Anthony Williams            | Broadway Ballroom E-K         |
| <b>10:00 – 11:00 Insights Xchange</b>                         |  |                             |                               |
| 10:00 – 11:00   | Meet the Author: Anthony Williams  |                             | Broadway Ballroom Prefunction |
| 10:00 – 10:15   | Networking   Break   |                             |                               |
| 10:15 – 10:45<br>(Optional Sessions, does not qualify for CE) | <b>fi360 Team:</b> Looking Ahead at the New fi360 Software Application   |                             | Broadway F                    |
|   | <b>fi360 Team:</b> Using the fi360 Toolkit in My Practice  |                             | Broadway G                    |
|   | <b>fi360 Team:</b> AIF: Cross Your T's and Dot Your I's: Get your designation out there                                  |                             | Broadway E                    |
|   | <b>Ann Schleck &amp; Co:</b> Competing in the New World of Fee Disclosure  |                             | Broadway A                    |
| 10:45 – 11:00   | Networking   Break   |                             |                               |
| <b>11:00 – 12:00 Conference Breakout Sessions</b>             |  |                             |                               |
|   | The Intergenerational Transfer of Wealth and Divided Trusteeship   | Gene Maloney                | Broadway F                    |
|   | Liability Relative Optimization: Begin with the End in Mind, Optimal Portfolios for the Long Run <b>[Master's Track]</b> | David Blanchett             | Broadway A                    |
|   | Building a Fiduciary Practice  | Scott Reed, Ken Mathis      | Broadway G                    |
|   | Best Behavioral Finance Practices  | Chip Hardy, Cathy Smith     | Broadway E                    |
| 12:00 – 1:00  | Lunch  |                             | Legends Ballroom              |
| <b>1:00 – 2:00 Conference Breakout Sessions</b>               |  |                             |                               |
|   | Breaking Down the Many Fiduciary Roles, Obligations and Service Models Today   | Greg Kasten                 | Broadway F                    |
|   | Based on, "A Framework for Examining Asset Allocation Alpha" <b>[Master's Track]</b>                                     | Dr. Omid Shakernia          | Broadway A                    |
|   | Evaluating and Recommending Bond and Stable Value Funds for 401k Plans Ahead of a Rising Interest Rate Environment       | Steven Kaye, Aldo Vultaggio | Broadway G                    |
|   | Life Insurance Made Understandable   | Brian Fechtel               | Broadway E                    |
| 2:00 – 2:15   | Networking   Break   |                             |                               |

Friday, April 25

| 2:15 – 3:15   |  |  |                               |
|---|--|--|-------------------------------|
| Conference Breakout Sessions                                |  |  |                               |
|   | Behavior Gap   | Carl Richards  | Broadway F                    |
|   | Mission-Driven SRI Investing Strategies for Small Institutions <b>[Master's Track]</b>   | Johann Klaassen, Kimberly Kiel                                 | Broadway A                    |
|   | Hard to Value Assets   | John Hare, Charles Humphrey, Susan Mangiero, Michelle Sullivan | Broadway G                    |
|   | The Increasingly Regulated World of IRA Rollovers, and What to Do About It   | Fred Reish   | Broadway E                    |
| 3:15 – 4:15   |  |  |                               |
| Insights Xchange  |  |  |                               |
| 3:15 – 3:30 Networking   Break                              |  |  |                               |
| 3:30 – 4:00<br>(Optional Sessions, does not qualify for CE) | <b>fi360 Team:</b> fi360 Fiduciary Score Academic Study presented with MacroRisk Analytics   |  | Broadway F                    |
|   | <b>CEFEX:</b> Bringing Fiduciary Certification to Your Steward   |  | Broadway G                    |
|   | <b>*INVITATION ONLY EVENT*</b> <b>fi360 Team:</b> Product Enhancement Round Table for IPS Advisor Pro Users  |  | Broadway A                    |
|   | <b>Financial Service Standards:</b> The Professional Plan Consultant Designation, Practical Application of the Practices to a Retirement Plan Practice |  | Broadway E                    |
| 4:00 – 4:15 Networking   Break                              |  |  |                               |
| 4:15 – 5:15   |  |  |                               |
| Conference Breakout Sessions                                |  |  |                               |
|   | ERISA Update and the Impact on Advisers  | Fred Reish   | Broadway F                    |
|   | Tactical Asset Allocation with Macroeconomic Factors <b>[Master's Track]</b>   | Michael Phillips, James Chong                                  | Broadway A                    |
|   | Integrating New Approaches in Socially Responsible Investing with Fiduciary Global Best Practices  | Robert Patterson, Steve Lydenberg                              | Broadway G                    |
|   | The Tao of Participant Services  | Craig Wantanabe  | Broadway E                    |
| 5:30 – 7:00   |  |  |                               |
| INSIGHTS 2014: <i>Decade of INSIGHTS</i> Celebration        |  |  | Broadway Ballroom Prefunction |

## Saturday, April 26

| TIME  | EVENT  | SPEAKER                                    | LOCATION                      |
|---|--|--|-------------------------------|
| 7:00 – 7:45   | Continental breakfast  |  | Legends Ballroom              |
| 7:45 – 8:00   | Presentation of Fiduciary of the Year Award  | Committee for the Fiduciary Standard       | Broadway Ballroom E-K         |
| 8:00 – 9:00   | Creative Confidence  | Tom Kelley                                 | Broadway Ballroom E-K         |
| <b>9:00 – 10:00 Insights Xchange</b>                        |  |  |                               |
| 9:00 - 10:00  | Kelley Book Signing  |  | Broadway Ballroom Prefunction |
| 9:00 – 9:15   | Networking   Break   |  |                               |
| 9:15 – 9:45<br>(Optional Sessions, does not qualify for CE) | *INVITATION ONLY EVENT* <b>fi360 Team:</b> Product Enhancement Round Table for existing Toolkit Users                |  | Broadway A                    |
|   | <b>IPS AdvisorPro:</b> Investment Policy Statements: Is a word file good enough?                                     |  | Broadway F                    |
|   | <b>fi360 Team:</b> How Allodium Leverages the AIF Designation as an Independent, Fee-Only RIA                        |  | Broadway E                    |
|   | <b>Financial Service Standards:</b> The 401(k) Service Solution, Learn About This Complete, Turn-Key Service Process |  | Broadway G                    |
| 9:45 – 10:00  | Networking   Break   |  |                               |
| <b>10:00 – 11:00 Conference Breakout Sessions</b>           |  |  |                               |
|   | Handling Difficult Investment Policy Issues<br><b>[Master's Track]</b>   | Norm Boone, Linda Lubitz Boone             | Broadway F                    |
|   | How 3 Minutes can be Worth \$1 Billion<br><b>[Master's Track]</b>  | Richard Dunne                              | Broadway A                    |
|   | Beyond Benchmarking: Tips for Helping Plan Sponsors Navigate Fee Allocation Policies                                 | Jason Roberts, Gerald Wernette, Jon Blaze  | Broadway G                    |
|   | Tax Efficient Investments  | Brian Laible                               | Broadway E                    |
| 11:00 – 11:15   | Networking   Break   |  |                               |
| <b>11:15 – 12:15 Conference Breakout Sessions</b>           |  |  |                               |
|   | Trending Topics for Independent Investment Advisors  | Dan Bernstein                              | Broadway F                    |
|   | Open and Close - Sales Ideas for Winning Retirement Plans <b>[Master's Track]</b>                                    | Ann Schleck & Michael Muirhead             | Broadway A                    |
|   | Using Liquid Alternatives Effectively in DC and DB plans   | Steve Medina                               | Broadway G                    |
|   | Retirement Plans and Financial Planning - Under One Roof   | Richard Busillo, Jeff Weiland, Beth Davies | Broadway E                    |
| 12:15 – 1:15  | Lunch  |  | Legends Ballroom              |

## Saturday, April 26

| TIME        | EVENT   | SPEAKER                             | LOCATION   |
|-------------|---|-------------------------------------|------------|
| 1:15 – 2:15 | <b>Conference Breakout Sessions</b>   |                                     |            |
|             | Transition Expertise Matters for Fiduciaries  | Susan Bradley                       | Broadway F |
|             | Custom TDFs <b>[Master's Track]</b>   | Joan Neri                           | Broadway A |
|             | What's in Your Portfolio? Risk Management and Monitoring of Multi-Asset Class and Multi-Manager Investment Portfolios | Matthew Wright                      | Broadway G |
|             | Leveraging Technology to Improve Retirement Plan Participation and Participant Outcomes                               | Jessica Searcy-Maldonado            | Broadway E |
| 2:15 – 2:30 | Networking   Break  |                                     |            |
| 2:30 – 3:30 | <b>Conference Breakout Sessions</b>   |                                     |            |
|             | Outsourced CIO - What's Good for the Client?  | Roger Levy                          | Broadway F |
|             | Your Duty to Care: Risk Tolerance and What You Need to Know as a Fiduciary  | Tyler Nunnally                      | Broadway A |
|             | Plan Failure Risk is the New Fiduciary Risk: Opportunities for Advisors   | Shelby George                       | Broadway G |
|             | 3(16) and the Fiduciary Plan Administrator: The Next Number to Decode   | Kimberly Shaw-Elliott, Keith Gredys | Broadway E |
| 3:30        | Conference adjourns   |                                     |            |