



INSIGHTS

Speaker Biography

Blaine Aikin AIFA®, CFA, CFP®

Blaine is President and CEO of fi360. Previously the Chief Knowledge Officer and Director of Training, he is the primary architect of the AIFA program and a major contributor to the development of the Fiduciary Excellence concept that is at the heart of our products and services. He is the author of numerous articles on the subjects of fiduciary responsibility and investment management, and the author of the monthly Fiduciary Corner column in InvestmentNews magazine. In 2011, he was named to Investment Advisor magazine's IA25 list of the most influential people in the investment advisory community.

In late 2012, Blaine was elected to the Certified Financial Planner Board of Standards, Inc. (CFP Board). The mission of the CFP Board is to benefit the public and uphold a standard of excellence in personal financial planning.

Blaine received his Master of Public Management and Policy degree from the Heinz School of Carnegie-Mellon University. Upon graduation from CMU, he was selected for the prestigious Presidential Management Intern Program which involved management assignments in the U.S. Department of Treasury and the U.S. Senate. He subsequently served as Budget Officer for Prince William County, Virginia. Blaine then entered the private sector in professional financial management. He earned the Certified Financial Planner (CFP) and Chartered Financial Analyst (CFA) designations and served as a principal and Chief Investment Officer of Allegiance Financial Advisors. After providing contract training and consulting services for PNC Financial Services Group, Blaine became a Senior Vice President and Director of Product Development and Management for PNC Advisors. For several years, he also served as an adjunct faculty member of the College for Financial Planning; providing instruction in investment planning and other subjects leading to the Certified Financial Planner designation.



EUGENE F. MALONEY
Executive Vice President and
Corporate Counsel
Federated Investors, Inc.
Pittsburgh, PA



Mr. Maloney is Executive Vice President and Corporate Counsel of Federated Investors, Inc., a member of the Executive Committee, and has been employed by the firm for forty-one years.

Through Mr. Maloney's efforts, Federated Investors is responsible for virtually all state legislation permitting public entities to use money market funds to manage their liquidity. Mr. Maloney was a member of the Board of Directors of the Foundation for Fiduciary Studies and was appointed by the U.S. House of Representatives and Senate as a member of the Industry Sector Working Group on Financial Services. He is an instructor in trust and securities law at Boston University School of Law, has been a visiting instructor at the Federal Financial Institutions Examination Council and the American Bankers Association's National Graduate Trust School at Northwestern University, and participates in programs leading to the designation of Certified Trust and Financial Advisor. Mr. Maloney has also served as an expert witness in both judicial and legislative settings on matters relating to fiduciary compensation, will construction, and prudent investing. Mr. Maloney is a member of the Advisory Board for the David Berg Center for Leadership and Ethics at the Katz Graduate School of Business, University of Pittsburgh.

Mr. Maloney has appeared as a speaker at American Bankers Association gatherings and is a frequent speaker at State Bankers Association meetings on the following subjects: the Gramm-Leach-Bliley Act and Regulation R, the deregulation of the financial services industry, the Uniform Prudent Investor Act and the investment management process it contemplates, fiduciary compensation, revisions to Regulation Q, pension reform and asset allocation as a means of optimizing return and minimizing risk, and the Dodd-Frank Wall Street Reform and Consumer Protection Act.

Mr. Maloney has authored and co-authored a number of articles appearing in various financial and legal publications regarding the investment responsibilities of corporate fiduciaries. He has also been the architect of various educational videos and memoranda having to do with the Uniform Prudent Investor Act, the implications for trust banks of functional regulation under the Gramm-Leach-Bliley Act, asset allocation in a trust context, the prudence of international investing, fiduciary compensation, and the propriety of a corporate fiduciary utilizing a mutual fund to which it provides discrete services.

Mr. Maloney was recently honored by the Trust Education Foundation, which established and funded the Eugene Maloney Trust Scholarship at Campbell University in North Carolina.

Mr. Maloney received his B.A. from Holy Cross College in Worcester, Massachusetts, and his J.D. from Fordham Law School in New York City. He attended Wharton School of the University of Pennsylvania focusing on the financial management of commercial banks. He was an officer in the United States Army from 1969 to 1972 and served as an infantry officer for one year in the Republic of Vietnam.



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Ron A. Rhoades, JD, CFP®

Ron A. Rhoades, JD, CFP® is Program Chair for the Financial Planning Program at Alfred State College, Alfred, New York. He has written extensively on the fiduciary obligations of investment and financial advisors. Ron was the recipient of the 2011 Tamar Frankel Fiduciary of the Year Award for “changing the nature of the fiduciary debate in Washington.” He also was named one of the Top 25 Most Influential Persons in the advisory profession by Investment Advisor magazine for 2011. A frequent speaker on compliance and fiduciary topics, he serves as 2013 Chair of the Steering Committee for The Committee for the Fiduciary Standard.





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Skip Schweiss

Skip Schweiss is the Managing Director of Advisor Advocacy & Industry Affairs for TD Ameritrade Institutional. Schweiss is also responsible for TD Ameritrade Trust Company (TDATC) which offers retirement plan solutions and services for independent registered investment advisors and third-party administrators using TD Ameritrade's trust platform.

Prior to this appointment, Schweiss held a variety of management positions within Fiserv Investment Support Services, including serving as Executive Vice President of Fiserv Trust Company, which was acquired by TD Ameritrade Holding Corporation in February, 2008.

Schweiss holds a B.S. in business administration from the University of South Dakota and a M.S. in finance from the University of Colorado. He has completed the Securities Industry Institute sponsored by the Securities Industry and Financial Markets Association (SIFMA) at the Wharton School of Business. He is currently Series 7 and Series 24 licensed, and is a member of the Financial Planning Association.

