

Katie A. Umile



Katie is the Founder and Chief Executive Officer of iCapital, LLC. Katie began her career at John Hancock in 1996. While at John Hancock, she realized that creating her own registered investment advisory firm was the best way to satisfy the needs and interests of her clients. iCapital has provided the vehicle for her to develop and deliver an independent, innovative approach to retirement plan services that is restrictive in traditional product platforms.

Through iCapital's inventive platform, Katie provides critical assistance and the tools necessary to help plan sponsors and advisers navigate through the often complex landscape of fiduciary management and compliance. With a holistic approach to participant education, she continues to help countless retirement plan participants achieve their vision of retirement. Katie has managed and consulted on plans ranging from startup to over a billion dollars of plan assets. She has been a featured speaker on fiduciary compliance for many organizations and has been conducting education workshops in Boston for plan sponsors on various topics for more than six years.

Katie holds one of only nine Accredited Investment Fiduciary Analyst[®] designations in the state of Massachusetts. The AIFA[®] is issued through the University of Pittsburgh in conjunction with fi360. Katie recently conducted the first CEFEX certification for a public foundation in the United States.

Other affiliations and organizations:

- Centre for Fiduciary Studies Marketing Committee
- Member of American Society of Pension Providers and Actuaries
- Independent Analyst – CEFEX
- Series 65 Investment Adviser Representative

Katie has been a long time resident of Boston's South End and is actively involved in community development. Two years ago Katie relocated the Home Office of iCapital to Boston's South End and is proud to be a small business owner in the community where she resides. Katie is actively involved in MS, Rosie's Place, Friends of UNH Hockey and a supporter of many other non-profit organizations. Katie enjoys, cooking, art, music, boating and any sports related activity and has been a volunteer soccer and basketball coach for Boston youth sports.