



JAMES PATRICK, MANAGING DIRECTOR
Envestnet

james.patrick@envestnet.com

Jim is responsible for leading the efforts in the development and distribution of Envestnet's advisor managed offerings.

Prior to joining the firm, Jim was a Consultant to financial services firms after 12 years with Allianz Global Investors. At Allianz, Jim held various roles within sales, product development, and national accounts across a variety of channels. At the time of his departure, Jim was Managing Director, Co-Head of US Retail Distribution for Allianz's equity capabilities and PIMCO. Before that, he worked at Nicholas-Applegate Capital Management since 1997, prior to their acquisition by Allianz in 2001. Jim also served as General Manager and a principal of City Sports, Inc. in Boston for 5 years and worked for RECOLL management.

Jim holds FINRA Series 7, 66, 24, 26 licenses and gained the CIMA designation in 1998. Jim holds a BS in Business Administration from the University of New Hampshire.



MICHAEL KOFFLER, PARTNER
Sutherland Asbill & Brennan LLP

michael.koffler@sutherland.com

Michael Koffler, a member of Sutherland's Financial Services Practice Group and head of the firm's Investment Adviser Team, focuses his practice on the investment adviser and broker-dealer industries. Michael works on securities issues associated with the management and distribution of investment products by financial institutions, including investment advisers, wirehouses, banks, independent broker-dealers and insurance companies.

Prior to joining the firm, Michael spent two years on the staff of the U.S. Securities and Exchange Commission (SEC) in the Division of Investment Management, where he reviewed registration statements, no-action requests, exemptive applications and proxy statements. Michael also helped promulgate SEC rules and answer interpretive questions from financial institutions.