

Today's Presenting Sponsor





How Successful Advisors Conduct The Annual Retirement Plan Review

The Standard ®

Our Panel of Experts

- Ken Schlosser, Relationship Manager
- Kristen Solo, Senior Attorney
- Jason Richmond, Senior Regional Investment Consultant
- Moderator: Mary Gordon, National Accounts Sales Manager



Advisor Annual Must-Do Review



Retirement Plan Committee Documentation

- Committee Member Fiduciary Acknowledgement
- Summary of Committee
 Responsibilities
- Board Resolution, if applicable

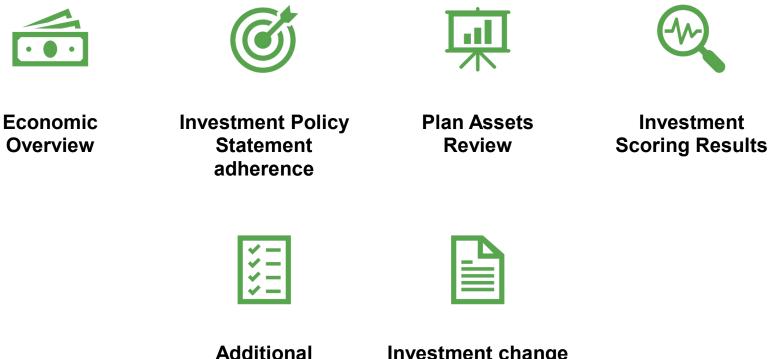


Investment Policy Statement (IPS)

- Need to maintain, review and adhere to the IPS
- ERISA §404(c) requirements
- Qualified Default Investment Alternative
- Index funds



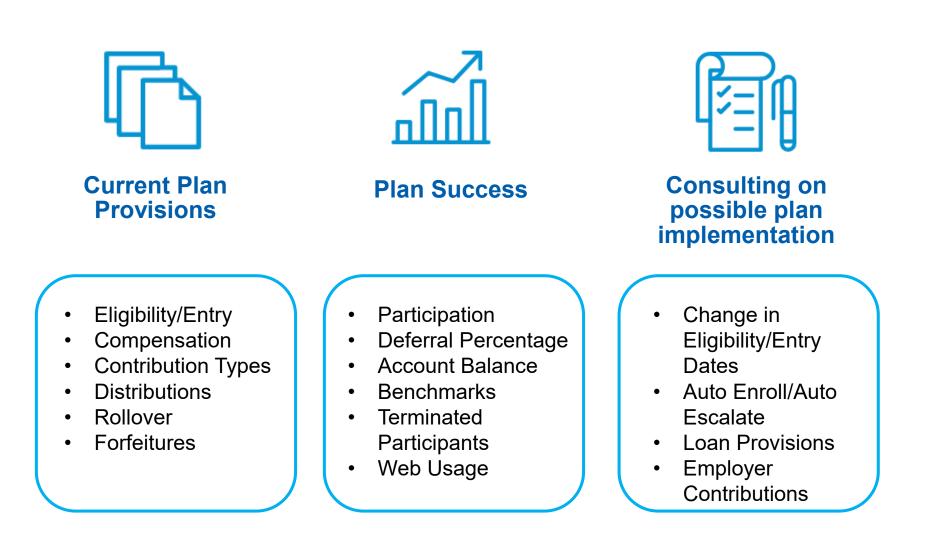
Investment Monitoring Report



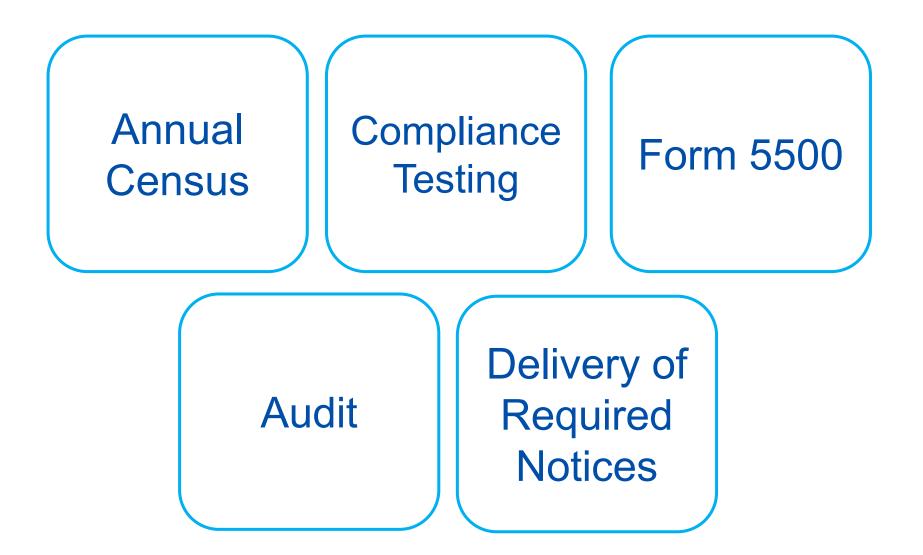
considerations

Investment change paperwork

Plan Review



Compliance Calendar



Meeting Documentation (Meeting Minutes)

- Date/Time
- Attendees
- Approval of Prior Meeting Minutes
- Fiduciary Training
- Plan Review
- Investment Policy Statement Confirmation
- Investment Monitoring Report
- Any Additional Conducted Review



Review of Plan Services

- Advisor Services Calendar
- Recordkeeper or TPA Services



How The Standard Can Help

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- Investment Policy Statement
- Investment Monitoring Report
- Plan Review
- Compliance Calendar

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Questions?



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