



AIF® DESIGNATION TRAINING

AGENDA FOR ONLINE TRAINING

INTRODUCTION:

- About Fiduciary360
- Laws Governing Fiduciary Responsibility
- Fiduciary Excellence
- Assessment and AIF Designation

QUIZ: INTRODUCTION MODULE

STEP 1 – ORGANIZE:

- Practice 1.1 – Know Your Duties
- Practice 1.2 – Governing Documents
- Practice 1.3 – Define and Documents
- Practice 1.4 – Conflicts of Interest
- Practice 1.5 – Service Agreements
- Practice 1.6 – Jurisdiction over assets

QUIZ: STEP 1 – ORGANIZE

STEP 2 – FORMALIZE:

- Practice 2.1 – Time Horizon
- Practice 2.2 – Risk Level and Tolerance
- Practice 2.3 – Expected Return
- Practice 2.4 – Asset Class Diversification
- Practice 2.5 – Asset Class Implementation
- Practice 2.6 – The IPS
- Practice 2.7 – SRI

QUIZ: STEP 2 – FORMALIZE

STEP 3 – IMPLEMENT:

- Practice 3.1 – Service Providers Selection
- Practice 3.2 – Safe Harbors
- Practice 3.3 – Investment Due Diligence

QUIZ: STEP 3 – IMPLEMENT



IPS EXERCISE:

- Exercise Instructions
- Sample IPS
- Handbook

QUIZ: IPS EXERCISE

STEP 4 – MONITOR:

- Practice 4.1 – Quantitative Investment Reviews
- Practice 4.2 – Qualitative Investment Reviews
- Practice 4.3 – Trading Practices and Proxy Voting
- Practice 4.4 – Fees and Expenses
- Practice 4.5 – Overall Fiduciary Effectiveness

QUIZ: STEP 4 – MONITOR

WRAP UP