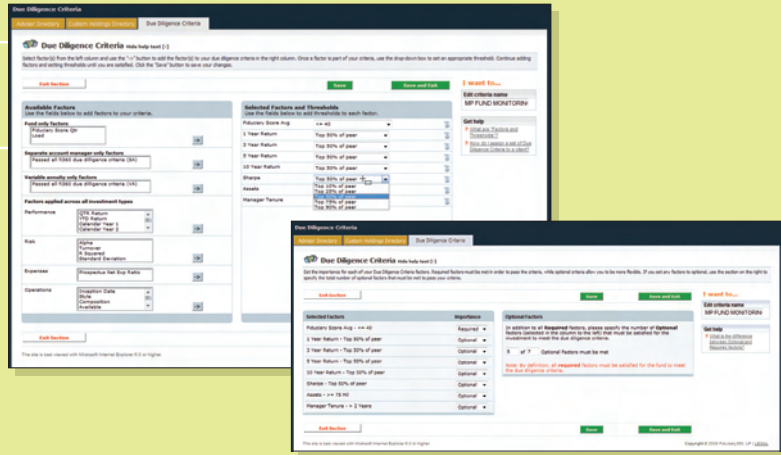


Customizable Due Diligence Criteria

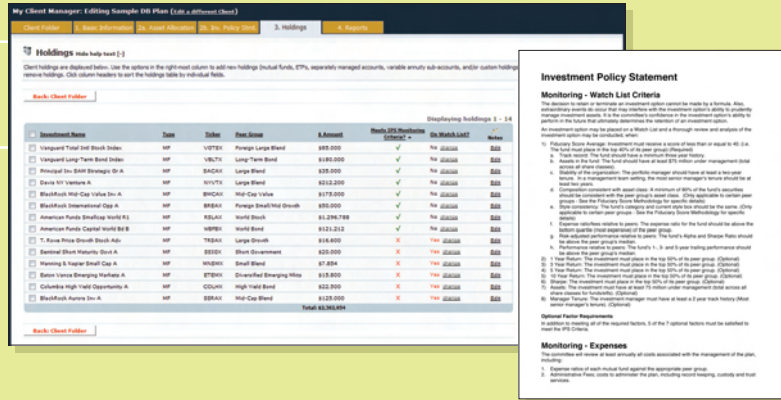
Numerous screening factors with flexible thresholds

Establish importance of each selected factor and threshold to further customize the Due Diligence Criteria



Assign Due Diligence Criteria in a Client's Investment Policy Statement

Immediately view the IPS Monitoring Criteria status and corresponding Watch List indication for all the Client's investments



Meet minimum fiduciary practices and employ additional criteria to achieve industry best practices

Complements the fi360 Fiduciary Score™

IPS Watch List Criteria Breakdown

Prepared for: Sample DR Plan

IPS Watch List Criteria

- Fiduciary Score Avg:** Investment must receive a score of less than or equal to 40. (i.e., The fund must place in the top 40% of its peer group) (Required)
- 1 Year Return:** The investment must place in the top 50% of its peer group. (Optional)
- 3 Year Return:** The investment must place in the top 50% of its peer group. (Optional)
- 5 Year Return:** The investment must place in the top 50% of its peer group. (Optional)
- 10 Year Return:** The investment must place in the top 50% of its peer group. (Optional)
- Sharpe:** The investment must place in the top 50% of its peer group. (Optional)
- Assets:** The investment must have at least 75 million under management (total across all share classes for funds/ETFs). (Optional)
- Manager Tenure:** The investment manager must have at least a 2 year track history (Post-issuer manager's tenure). (Optional)

Optional Factor Requirements

In addition to meeting all of the required factors, 5 of the 7 optional factors must be satisfied to meet the IPS Criteria.

Legend

- ✓ Investment meets the factor
- X Investment does not meet the factor
- NA Investment data is not available
- Alt: Investment is not screened on the factor

Investment Name	Meets IPS Criteria	1	2	3	4	5	6	7	8	Watch List?
American Funds Capital World BS	✓	✓	✓	✓	✓	✓	✓	✓	✓	No
American Funds Smallcap World RI	✓	✓	✓	✓	✓	✓	✓	✓	✓	No
BlackRock Aurora Inv A	✓	X	X	X	X	X	X	X	X	Yes
BlackRock International Opp A	✓	✓	✓	✓	✓	✓	✓	✓	✓	No
BlackRock Mid-Cap Value Inv A	✓	✓	✓	✓	✓	✓	✓	✓	✓	No
Columbia High Yield Opportunity A	X	X	X	X	X	X	X	X	X	Yes
DeVries NY Venture A	✓	✓	✓	✓	✓	✓	✓	✓	✓	No
Easton Venice Emerging Markets A	X	X	X	X	X	X	X	X	X	Yes
Hannock & Ripper Small Cap A	X	X	X	X	X	X	X	X	X	Yes
Principal Inv SAH Strategic Gr A	✓	✓	✓	✓	✓	✓	✓	✓	✓	No
Sentinel Short Maturity Govt A	X	X	X	X	X	X	X	X	X	Yes
T. Rowe Price Growth Stock Adv	X	X	X	✓	✓	✓	✓	✓	X	Yes
Vanguard Long-Term Bond Index	✓	✓	✓	✓	✓	✓	✓	✓	✓	No
Vanguard Total Intl Stock Index	✓	✓	✓	✓	✓	✓	✓	✓	✓	No