

Manual Watch List Decisions - Client Summary

Report Description

This report contains every investment that has a Manual Watch List Decision applied to it. The investments are grouped by Client name then ordered alphabetically by investment name. The report is particularly useful when auditing your Manual Decisions before producing next quarter's Monitoring Report to ensure they are still applicable. The Client name, type and status along with the investment name, ticker symbol (if applicable), type, and peer group are displayed below. Another version of this report is available that groups the investments by Broad Asset Class or Peer Group instead of Client Name.

For clients that have both a global decision and a client-specific decision for the same investment, the client-specific decision always dictates the Watch List Status. You will see a faint colored action with a strikethrough for those Global Decisions that are overruled.

For manual decisions with an *

A manual watch list decision was established in the past for this investment. The decision still exists, but the investment is not in the Client's holdings so no data will appear on your client's reports. We recommended that you remove any decisions for investments that no longer exist in the client's portfolio or plan to prevent any future unintended Watch List actions.

Client Name | Type | Status

Investment Name (Ticker) | Peer Group | Investment Type

Decision Type

Watch List Action

aaaaaaaaaaaaaa | Comm-Directed Ret. Plan | Current

Vanguard Windsor II Investor (VWNFX) | Large Value | Mutual Fund

Global Decision

Place on Watch List

Bethlehem Steel Defined Benefit Plan | Comm-Directed Ret. Plan | Current

3 to 1 Diversified Equity (FMREX) | World Stock | Mutual Fund

Global Decision

Place on Watch List

Cliff Client | Individual | Current

3 to 1 Diversified Equity (FMREX) | World Stock | Mutual Fund

Global Decision

Place on Watch List

Fourth Ave. Associates 401k | Part-Directed Ret. Plan | Current

Federated Total Return Bond Instl (FTRBX) | Intermediate-Term Bond | Mutual Fund

Client-specific

Keep off Watch List

Global Decision

~~Place on Watch List~~

New Cliff Client | Individual | Current

American Funds Capital World Bond A (CWBFX) | World Bond | Mutual Fund

Global Decision

Keep off Watch List

RidgeWorth Intermediate Bond A (IBASX) | Intermediate-Term Bond | Mutual Fund

Global Decision

Keep off Watch List

Not assigned to any client's holdings | |

*Money Market Tax Free (MMM0X) | Money Market Tax Free | Mutual Fund

Client-specific

Keep off Watch List

*Vanguard Financials ETF | Financial | Exchange-traded Fund

Global Decision

Keep off Watch List

*Vanguard Long-Term Bond Index Inv (VBLTX) | Long-Term Bond | Mutual Fund

Client-specific

Keep off Watch List